

## Investment Application Form

**Please complete all the relevant details below. If an item does not apply to you, please leave it blank**

1. Complete **all** the relevant sections **in block letters** in full below. If you have any queries please do not hesitate to contact Integre or your financial advisor.
2. The completed Application Form, together with the required supporting FICA documentation and proof of payment must either be faxed to Integre +27 (0) 86 524-0783 or emailed to [info@integre.co.za](mailto:info@integre.co.za).
3. Please note that the cut-off time for receiving transactions is 13:00 (SA time).

**Investor type**

Individual 
 Close Corporation 
 SA Company 
 Foreign Co 
 Partnership 
 Trust 
 Other

<b>Individual Investor</b>					
Title:		Surname:		First Name(s):	
Male:		Female:	Date of Birth:		Nationality:
Identity No. or Passport No. (if no RSA ID):				Income Tax No.:	
Physical Address:					
					Post Code:
Postal Address:					
					Post Code:
Contact Numbers:	Work:		Home:	Cell:	Fax:
Email Address:					
<b>Contact Person (if different from above):</b>					
Postal Address:					
					Post Code:
Contact Numbers:	Work:		Home:	Cell:	Fax:
Email Address:					

Integre Investment Management (Pty) LTDtd, Reg.. Reg. No.: 2002/031731/07, FSP No. 851  
 Administration by  
 Directors: DI Dodds, AD Thompson, CFA  
 5 Autumn Street, Baobab House, 1<sup>st</sup> Floor, Rivonia, Johannesburg. PO Box 1744 Rivonia 2128  
 Tel: +27 11 803-0613; Fax: 086 524-0783

<b>Companies, Close Corporations, Trusts and other legal entities</b>					
Registered Name:			Registration No.:		
Trading Name:					
Income Tax No.:			VAT No. (if applicable):		
Physical Address:					
					Post Code:
Postal Address:					
					Post Code:
Contact Numbers:	Work:	Home:	Cell:	Fax:	
Email Address:					
<b>Contact Person (Companies, Close Corporations, Trusts and other legal entities)</b>					
Title:	Surname:		First Name(s):		
Male:	Female:	Date of Birth:		Nationality:	
Identity Number or Passport (if no RSA ID):			Income Tax No.:		
Postal Address:					
					Post Code:
Contact Numbers:	Work:	Home:	Cell:	Fax:	
Email Address:					
<b>Authorised Signatories: Companies, Close Corporations, Trusts and other legal entities</b>					
Full Name:			Identity or Passport No.:		
Capacity:		Signature:			
Full Name:			Identity or Passport No.:		
Capacity:		Signature:			
Full Name:			Identity or Passport No.:		
Capacity:		Signature:			

Banking Details of INVESTOR		
Name of Account Holder:		Account No.:
Bank:	Branch:	Branch Code:
Client <b>Signature</b> or authorised signatory:		

Banking Details FOR INTEGRE (Deposits by Clients)		
Account Name: <b>Integre Inflow Account</b>		Account No.: <b>1003353428</b>
Bank: <b>Nedbank</b>	Branch: <b>Corporate Client Services, Cape Town</b>	Branch Code: <b>145209</b>

Debit Order Facility and Authorisation			
I hereby authorise Integre to deduct the stated debit order amount on the 1 <sup>st</sup> working day of each month for the investment at the ruling price on that day from your bank account above as well as any amendments that may be made during the period of this investment. I agree to pay bank charges and costs incurred by this debit order. Any debit order instruction/amendment must be received in writing by Integre prior to the 7 <sup>th</sup> day of each month in order for it to be acted upon before the 1 <sup>st</sup> working day of the following month.			
MONTHLY Debit Order Instructions:			
Commencement Date:	Amount: (Rand)	Annual Escalation: (Percentage)	Annual escalation date: On 1 July each year
SINGLE PAYMENT Debit Order Instructions:			
Date for single Debit Order:		Amount: (Rand)	
<b>Signature:</b>			
Please Note:	<ul style="list-style-type: none"> <li>• A cancelled cheque or bank statement must be attached as proof of banking details.</li> <li>• The account holder must have a South African bank account.</li> <li>• Debit orders will be deducted from this account</li> <li>• The onus is on the investor to inform Integre of any changes to the bank account details.</li> <li>• No payments will be made into third party bank accounts (i.e. payments will only be made to the bank account in the name of the registered investor).</li> </ul>		

Minimum Investment Amounts into the Integre Large Cap Fund
<b>Single Payment:</b> R25,000 (Twenty-Five Thousand Rand)
<b>Recurring Debit Orders:</b> Initial investment of R5,000 (Five Thousand Rand); Monthly investment of R500 (Five-hundred Rand)

Annual Management Fees
<b>Annual fees</b> charged by the Integre Large Cap Fund are <b>2.0%</b> (plus VAT) of Assets Under Management. The fees are billed pro rata to the client's account on a monthly basis.
These fees exclude any fees that are charged by your financial advisor; any such fees are a personal matter between the client and the financial advisor.

Income Reinvestment
Unless the client provides a specific instruction in the Section titled "Special Instructions" any dividends and interest earned by the Fund will be automatically reinvested.

<b>FSP: Details and Declaration</b>				
This section should only be completed by Financial Services Providers (FSPs) registered with Integre when advice relating to this investment has been supplied to the client.				
FSP authorised License Category:		Category 1	Category 2	Category IIA
FSP details:	Name:	Institution Name	FSP No. (with FSB)	FSP No. (with Integre)
<p>I declare that:</p> <ul style="list-style-type: none"> <li>• I am licensed to render services in respect of this product.</li> <li>• I have made the disclosure required in terms of the Financial Advisory and Intermediary Services Act 37 of 2002 (FAIS) and subordinate legislation thereto, to the investor/s.</li> <li>• I have fully explained the meaning and implications of replacement (if applicable) to the investor/s and that I am fully aware of the possible detrimental consequences of replacement.</li> <li>• I have established and verified the identity of the investor/s (and persons acting on behalf of the investor) in accordance with the Financial Intelligence Centre Act 38 of 2001 (FICA) and the regulations thereto, and I will keep records of such identification and verification according to the provisions of FICA.</li> <li>• I have explained all fees that relate to this investment to the investor/s and I understand and accept that the investor/s may withdraw his / her authority for payment to me in writing and inform Integre.</li> </ul>				
Signature:		Date:	Place:	

## TERMS AND CONDITIONS

### General

1. This application together with the Main Deed and the relevant Supplemental Deeds, will govern the legal relationship between the investor and Integre Investment Management (Pty) Ltd ("Integre"). It is Integre's sole discretion to accept or reject the investor's application form.
2. Please note that all documents, notifications of deposit, contributions and investment applications must be received by Integre by or before 13:00 (SA), to be transacted at the net asset value price for that day. No interest will accrue to monies awaiting allocation. The cut-off time also applies to redemptions and switch transactions. Where all required documentation is not received before the stated cut off time, Integre shall not be obliged to transact at the net asset value price for that day.
3. All redemptions must be submitted in writing and will be executed following receipt and acceptance of such instruction. Please note in the case of redemptions, settlement may take up to 48 hours.
4. Investors wishing to redeem units amounting to more than 5% of the total market value of the relevant unit trust fund portfolio must provide Integre with at least 7 business days' written notice of such redemption. If this notice is not received by Integre, Integre may treat such withdrawal as only having taken place on the 7th business date after such instruction is received. However, where the amount to be redeemed exceeds 10% of the total market value of the portfolio, the parties shall determine the actual date of withdrawal through mutual agreement between them.
5. The net asset value price is calculated using the forward pricing methodology. The net asset value can be defined as the total market value of all assets in the unit portfolio including any income accruals and less any permissible deductions from the portfolio, divided by the number of units in issue.
6. Units will be bought and sold at the net asset value price in accordance with the requirements of the Collective Investment Schemes Control Act and the relevant Deed.
7. Commissions and fees may be paid to advisors and are included in the costs.
8. The Management Company may, at its discretion, close portfolios to new investors and existing unit holders including the cessation of debit orders, if applicable.
9. Integre reserves the right to terminate this contract by giving 30 days notice to the investor. Units shall be repurchased on the 30th day after notification of termination at the ruling price on that day. Any proceeds from the termination shall be paid to the Investor's bank account given in this application form.
10. All material facts must be accurately and properly disclosed, and the accuracy and completeness of all answers, statements or other information provided by or on behalf of the client, are the client's own responsibility.
11. No indulgence granted by Integre shall affect or prejudice the rights of Integre, nor shall it be regarded as a waiver of Integre's rights.
12. The Trustee's details are: Nedbank Ltd Investor Services, Forum IV, Braampark, Johannesburg

### Applicable if appointing a Financial Advisor/FSP

1. Integre will only accept applications, submitted on behalf of investors from FSP's who have been granted a licence by the Financial Services Board.
2. Integre will verify the existence of such FSP licence but it is the responsibility of the FSP to ensure he /she acts within the licence conditions.
3. Integre cannot be held responsible or liable for loss or damage suffered by the investor as a result of the FSP acting outside his / her licence parameters or because of delays in the processing or rejection of this application form, caused by the fact that the investor's FSP is not authorised as a Financial Services Provider or is not approved by Integre.
4. The FSP is responsible for ensuring that the investor receives and understands all appropriate advice, product and fee information including changes in the working practices and procedures of Integre.

### Instructions

1. Only signed written instructions (faxed copies included) from the unit holder or FSP will be acted upon.
2. Units may be ceded or assigned for which a processing cost may be levied.
3. Integre will not proceed with any transaction if there is any doubt as to the validity of any signatures/information or if it deems the instruction to be incomplete in any way and Integre cannot be held liable for any resultant losses as a result thereof.

4. Integre shall not be held responsible, accountable or liable for loss or damage suffered by the investor due to delays in the processing of this application form or rejection of this application form, caused amongst others by the non-accreditation of the investor's FSP.

### **Reporting**

Unit Holder statements will be issued quarterly. Transaction notes are sent on a transaction basis. Additional client statements are available on request from Integre.

### **Risk Warning**

Collective Investment Schemes in Securities (CIS) should be considered as medium to long-term investments. The value may go up as well as down and past performance is not necessarily a guide to future performance. CIS are traded at the ruling price and can engage scrip lending and borrowing up to 10% of the market value of the portfolio to bridge insufficient liquidity. A schedule of fees, charges and maximum commissions is available on request from Integre. Commission and incentives may be paid and if so, would be included in the overall costs. Different classes of units may apply in a portfolio and are subject to different fees and charges. A fund of funds is a portfolio that invests in portfolios of collective investment schemes, which levy their own charges, which could result in a higher fee structure for these portfolios. A Feeder Fund is a portfolio that, apart from assets in liquid form, consists solely of participatory interests in a single portfolio of a collective investment scheme. A unit trust fund may be capped at any time in order for it to be managed in terms of its mandate.

Forward pricing is used. Fluctuations or movements in exchange rates may cause the value of any underlying international investments to go up and down. CIS prices are calculated on a net asset basis, which is the total value of all the assets in the portfolio including any income accruals and less any permissible deductions (Brokerage, STT, VAT, Auditor's fees, Bank Charges, Trustee and Custodian fees and the annual Management fee) from the portfolio divided by the number of participatory interests (units) in issue. The Fund's Total Expense Ratio (TER) reflects the percentage of the average Net Asset Value of the portfolio that was incurred as charges, levies and fees related to the management of the portfolio. A higher TER does not necessarily imply a poor return, nor does a low TER imply a good return. The current TER cannot be regarded as an indication of future TER's. During the phase in period TER's do not include information gathered over a full year.

The investor acknowledges the inherent risk associated with the selected investments and that there are no guarantees. The investor furthermore agrees that Integre will not be liable for the consequences of market influences and consequent changes in unit prices.

Integre is a member of the Association for Savings and Investments SA.

### **Authorisation and Declaration**

1. I have read and fully understood all the pages of this application form and agree to the terms and conditions of this investment and I understand that this application and any further documents, read with the Deed, constitutes the entire agreement between Integre and me.
1. I warrant that the information contained herein is true and correct and that where this application is signed in a representative capacity, I have the necessary authority to do so and that this transaction is within my power.
2. I am aware of the charges and fees, the total expense ratio, investment objectives, risk factors and income distributions applicable to my investment as set out in this form and in other documentation provided to me.
3. I authorise Integre to deduct any debit orders and also and pay all fees. Permissible deductions from the fund include management fees, performance fees, bank charges, trustee/custodian fees, audit fees, STT and brokerage.
4. I know that there are no guarantees on my investment capital and that this product offers no cooling-off rights.
5. I confirm that information pertaining to my account (including duplicate statements, valuations and other information that may be required from time to time) may be released to me in electronic or written format
6. I authorise Integre to accept and act upon instructions by facsimile or e-mail and hereby waive any claim that I have against Integre and indemnify Integre against any loss incurred as a result of Integre receiving and acting on such communication or instruction.
7. I confirm that I have received the following information:
  - investment objectives
  - the calculation of the NAV and dealing prices
  - charges

- risk factors
- distribution of income accruals
- any additional information necessary to enable the investor to make an informed decision

8. I instruct Integre to pay my Financial Services Provider the following

Trail fee  %

### Special Instructions

(If a separate Attachment of "Special Instructions" is used this must be noted and counter-signed in the space below. Any such attachment is an integral part of this agreement)


Signed at \_\_\_\_\_ Date \_\_\_\_\_

Full Name of Signatory \_\_\_\_\_ Capacity \_\_\_\_\_

**Signature** of Investor/Legal Guardian \_\_\_\_\_

### Contact Details for Integre

5 Autumn Street, Baobab House, 1<sup>st</sup> Floor, Rivonia, Johannesburg.  
 PO Box 1744 Rivonia 2128  
 Tel: +27 11 803-0613; Fax: 086 524-0783. Email: info@integre.co.za

### Compliance Officer

Please do not hesitate to contact us if you are not satisfied with this investment or the services received from Integre. A complaint must be submitted to the Compliance Officer. The external Compliance Officer for Integre is Moonstone Information Refinery (Pty) Limited (Moonstone).  
 Moonstone Contact details: 021 883-8000  
 PO Box 12662, Die Boord, 7613, Stellenbosch.  
 Email: [info@moonstoneinfo.com](mailto:info@moonstoneinfo.com)  
 Moonstone will acknowledge the complaint in writing and will inform the investor of the contact details of the persons involved in the resolution thereof.

## Complaints

If an investor is not satisfied with the response from Integre and/or Moonstone or if an investor has a complaint about the advice given by the Financial Advisor, he /she has the right to address his / her complaint in writing to the Ombud for Financial Services Providers at the address below:

P O Box 74571  
Lynnwood Ridge  
0040

Tel: +27 12 470 9080  
Fax: +27 12 348 3447  
Email: [info@faisombud.co.za](mailto:info@faisombud.co.za)

The Ombud is legally empowered to investigate and adjudicate complaints in a procedurally fair, economical and expeditious manner.

## Prescient Management Company Limited (Prescient)

The Integre family of CIS funds is managed by Prescient, the details of which are below:

Prescient House Westlake Business Park Otto Close Westlake 7945	P O Box 31142 Tokai 7966	Tel: 021 700 3600 Fax: 021 700 3752 <a href="mailto:info@prescient.co.za">info@prescient.co.za</a> <a href="http://www.prescient.co.za">www.prescient.co.za</a>
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## FICA Requirements

**In terms of FICA, and the regulations thereto, Prescient is compelled to identify and verify the identity of third parties acting on behalf of its clients. Please attach the documentation set out below to this completed application form.**

### 1. South African Citizens and Residents (Natural Person)

- 1.1 ID document containing a photo, full names, date of birth and ID number (a passport containing the above information will only be accepted with written substantiation) or a valid driver's licence.
- 1.2 A document less than 3 months old containing a residential address; that is: a utility bill, bank statement, rates account or tax invoice.

### 2. Foreign Nationals (Natural Person)

- 2.1 A passport containing a photo, full names, date of birth and ID number.
- 2.2 A document less than 3 months old containing a residential address; that is: a utility bill, bank statement, rates account or tax invoice.

### 3. Third Party Representing another Individual/Power of Attorney (Natural Person)

- 3.1 ID document / passport as set out in 1.1 and 2.1 above in respect of both parties.
- 3.2 A document less than 3 months old containing a residential address as set out in 1.2 above in respect of the representative individual.
- 3.3 Proof of authority to act e.g. power of attorney, mandate, resolution or court order.

### 4. South African Companies

- 4.1 Certificate of Incorporation (CM1), and Notice of Registered Office and Postal Address (CM22) containing Registrar's stamp and signed by the Company Secretary.
- 4.2 A document less than 3 months old containing the trade name and business address; that is: a utility bill, bank statement, rates account or tax invoice.
- 4.3 ID document/passport as set out in 1.1 and 2.1 above in respect of the manager, all authorised representatives, all individuals holding 25% or more of the voting rights at a general meeting.
- 4.4 Proof of authority to act for the company e.g. a directors' resolution.
- 4.5 Applicable documents referred to in 4.1 & 4.2 or 5.1 & 5.2 or 6.1 & 6.2 or 7.1 or 8.1 or 9.1 in respect of institutions holding 25% or more of the voting rights at a general meeting.



## **5. South African Close Corporations**

- 5.1 Founding Statement and Certificate of Incorporation (CK1) and Amended Founding Statement (CK2) if applicable, containing Registrar's stamp and signed by an authorised representative / employee.
- 5.2 A document less than 3 months old containing trade name and business address; that is: a utility bill, bank statement, rates account or tax invoice.
- 5.3 ID document/passport as set out in 1.1 and 2.1 above in respect of each member and all authorised representatives.
- 5.4 Proof of authority to act for the close corporation e.g. a members' resolution.

## **6. Foreign Companies**

- 6.1 Official document from foreign regulator witnessing incorporation, bearing the name, number and address.
- 6.2 A document less than 3 months old containing SA and foreign trade names and business address; that is: a bill, bank statement, rates account or tax invoice.
- 6.3 ID document/passport as set out in 1.1 and 2.1 above in respect of the SA manager, all authorised representatives, all individuals holding 25% or more of the voting rights at a general meeting.
- 6.4 Proof of authority to act for the company e.g. a directors' resolution.
- 6.5 Applicable documents referred to in 4.1 & 4.2 or 5.1 & 5.2 or 6.1 & 6.2 or 7.1 or 8.1 or 9.1 in respect of institutions holding 25% or more of the voting rights at a general meeting.

## **7. Other Legal Persons (Retirement Fund, Club, Association, Body Corporate, etc)**

- 7.1 The constitution or other founding document/ FSB approval in terms of which legal person is created.
- 7.2 ID document/passport as set out in 1.1 and 2.1 above in respect of all authorised representatives.
- 7.3 Proof of authority to act for legal person i.e. resolution.

## **8. Partnerships**

- 8.1 Partnership agreement.
- 8.2 ID document/passport as set out in 1.1 and 2.1 above in respect of all partners, authorised representatives or persons exercising executive control.
- 8.3 Proof of authority to act for partnership.
- 8.4 Applicable documents referred to in 4.1 & 4.2 or 5.1 & 5.2 or 6.1 & 6.2 or 7.1 or 9.1 in respect of any institutional partners or an institution exercising executive control

## **9. Trusts**

- 9.1 The main deed or other founding document (e.g. a will).
- 9.2 Letters of authority from the Master (SA trust) or foreign regulator (foreign trusts).
- 9.3 ID document/passport as set out in 1.1 and 2.1 above in respect of an individual founder of the trust, each individual trustee, each individual beneficiary, all authorised representatives, or details of how beneficiaries are determined if not mentioned by name.
- 9.4 A death certificate where founder died or certificate of deregistration where founder ceased to exist.
- 9.5 Applicable documents referred to in 4.1 & 4.2 or 5.1 & 5.2 or 6.1 & 6.2 or 7.1 or 9.1 in respect of any institutional beneficiaries, any institutional trustees or institutional founder of the trust, or details of how beneficiaries are determined if not mentioned by name.